



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

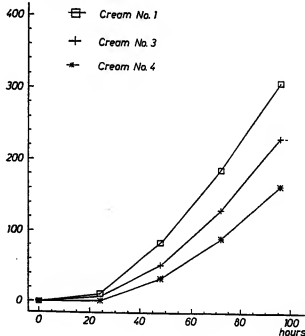
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(71) Applicant (for all designated States except US): A/S GEA FARMACEUTISK FABRIK [DK/DK]; Holger Danskens Vej 89, DK-2000 Frederiksberg (DK).		
(72) Inventors; and (75) Inventors/Applicants (for US only): GEBHARD-HANSEN, Knud, Erik [DK/DK]; Storevang 36, DK-3460 Birkerød (DK). PEDERSEN, Søren, Bols [DK/DK]; Vesterkær- vej 7, DK-2650 Hvidovre (DK). BJØRNSDOTTIR, Kar- en [DK/DK]; Åsevej 16, DK-3500 Værløse (DK). LIS- SAU, Bodil, Gyllemborg [DK/DK]; Solsortvej 17, DK- 2000 Frederiksberg (DK). ALHEDE, Borge, Ingvar, Frisch [DK/DK]; Rypelyngvej 8, DK-2670 Greve Strand (DK).		
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(54) Title: AN ANTIVIRALLY ACTIVE PHARMACEUTICAL OIL-IN-WATER EMULSION CONTAINING 9-(2-HYDROXYETHOXY)METHYLGUANINE (ACYCLOVIR) OR A SALT OR ESTER THEREOF

## (57) Abstract

An antivirally active pharmaceutical oil-in-water emulsion containing 9-(2-hydroxyethoxy)methylguanine (acyclovir) or a salt or ester thereof as an active ingredient in the continuous aqueous phase, said phase in addition to said active ingredient and the dispersed oil phase containing a water miscible organic solvent, wherein a polyhydric alcohol may form a constituent. The emulsion comprises from 1 % to 10 % w/w of acyclovir or a salt or ester thereof, from 20 % to 50 % w/w of organic solvent comprising from 5 % to 50 % w/w glycerol formal and from 0 % to 29 % w/w of a polyhydric alcohol, and from 20 % to 40 % w/w water, said percentages being based on the total weight of the formulation. As a polyhydric alcohol propylene glycol may be used, and the emulsion may be available as a cream, wherein the oil phase comprises white vaseline, liquid paraffin (paraffin oil), glycerol monostearate, and stearic acid.

µg accumulated



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Title: An antivirally active pharmaceutical oil-in-water emulsion containing 9-[(2-hydroxyethoxy)methyl]guanine (acyclovir) or a salt or ester thereof.

### Technical Field

The present invention relates to an antivirally active pharmaceutical oil-in-water emulsion containing 9-[(2-hydroxyethoxy)methyl]guanine (acyclovir) or a salt or ester thereof as an active ingredient in the continuous aqueous phase, said phase in addition to said active ingredient and the dispersed oil phase containing a water miscible organic solvent, wherein a polyhydric alcohol may form a constituent.

### Background Art

- 10 GB patent No. 1.523.865 discloses that acyclovir and pharmaceutically acceptable salts and esters thereof have an antiviral activity against various classes of DNA and RNA viruses, both in vitro and in vivo. In particular, the compound is active against herpes simplex virus which causes herpetic keratitis in rabbits, herpetic encephalitis in mice and cutaneous herpes in guinea pigs.
- 15 Acyclovir has a low solubility in water and is almost totally insoluble in hydrophobic solvent systems, which is why it is difficult to produce a topical formulation containing such a high concentration of dissolved acyclovir enabling it to exert its full effect. It is thus difficult to obtain an optimum penetration of said compound into the skin.
- 20 In addition to a sufficient concentration of pharmaceutically active compound, which inter alia depends on a sufficient rate of dissolution of the active compound in the chosen solvent, it is important that formulations containing the pharmaceutically active compound are stable and thus do not lose their potency during storage for long periods of time or discolour or are unduly irritating to skin or mucosa
- 25 after having been applied.

Example 26 of said GB patent relates to an oil-in-water cream containing 5% w/w acyclovir and 5% w/w propylene glycol. In this example the function of the propylene glycol is to act as an humectant, i.e. as a hygroscopic ingredient, which improves the cosmetic sensation by use of the cream and further limits dehydration during storage. Animal tests with this cream and another aqueous cream B.P. (British Pharmacopoeia) containing acyclovir did not provide a particularly rapid cure, probably due to an insufficient amount of dissolved active ingredient and consequently poor penetration of acyclovir into the skin.

Because of the lipid nature of the skin surface and especially the stratum corneum, it has long been thought that in order to achieve a good transdermal penetration into the skin the active ingredient in an emulsion should be located in the oil phase so that it may spread into the lipid components of the skin.

However, it has been found that in order to obtain optimum release of acyclovir from topical formulations, the external phase and thus the aqueous phase of an oil-in-water emulsion should contain the maximum concentration of solubilised drug. DK patent No. 149.191 thus discloses that by using a greater concentration of polyhydric alcohol than the 5% w/w as known from said GB patent, i.e. at least 50% v/v of the aqueous phase, an increased concentration of solubilised acyclovir and thus an enhanced activity and efficacy of the emulsion formulation may be obtained.

Topical acyclovir formulations containing such an increased concentration of polyhydric alcohol as co-solvent in the aqueous phase have been found to have the adequate stability and are not unduly irritating to the skin or mucosa. Compared to the prior art formulation containing 5% w/w acyclovir and only 5% w/w propylene glycol the formulations known from DK patent No. 149.191 penetrate the skin more effectively and in a greater concentration of acyclovir, whereby a more rapid cure of the infection concerned is obtained. These advantages are obtained when the formulation comprises from 1% to 10% w/w of acyclovir or

a salt or ester thereof, from 30% to 50 % w/w of the polyhydric alcohol and from 20% to 40% w/w water, said percentages being based on the total weight of the formulation.

#### Disclosure of the Invention

- 5 It has now surprisingly been found that a substantially increased concentration of dissolved acyclovir and thus an enhanced penetration of acyclovir into the skin may be obtained by using an oil-in-water emulsion of the type dealt with by using glycerol formal as organic solvent in the continuously aqueous phase instead of a polyhydric alcohol or by replacing part of the polyhydric alcohol by glycerol
- 10 formal, and the antivirally active pharmaceutical oil-in-water emulsion according to the invention is thus characterised in that the emulsion comprises from 1% to 10% w/w of acyclovir or a salt or ester thereof, from 20% to 50 % w/w of organic solvent comprising from 5% to 50% w/w glycerol formal and from 0% to 29% w/w of a polyhydric alcohol, and from 20% to 40% w/w water, said
- 15 percentages being based on the total weight of the formulation.

It has been found that the emulsion according to the present invention results in an substantially enhanced penetration of the active ingredient, acyclovir, into the skin, the enhanced effect being due to the use of glycerol formal as organic solvent in the emulsion or as a considerable constituent thereof.

- 20 The enhanced solubility of acyclovir obtained by using glycerol formal instead of propylene glycol or as substitute for a part of the propylene glycol contents in an oil-in-water emulsion containing a water miscible organic solvent appears from the following solubility test.

- Solubility of acyclovir in glycerol formal/water mixtures and in glycerol for-
- 25 mal/propylene glycol/water mixtures.

A surplus of acyclovir is added to glycerol formal/water mixtures and glycerol formal/propylene glycol/water mixtures at room temperature, and the mixtures are then stirred for 2 hours and filtered. Subsequent to adequate dilution with 0.1 N NaOH the solutions are spectrophotometrically measured at 260 nm against 0.1 N NaOH. A 0.01% solution of acyclovir in 0.1 N NaOH is used as standard.

Table I

Solubility of acyclovir in mixtures of glycerol formal and water:

Percentage by volume of glycerol formal in water	Solubility of acyclovir in mg/ml
0	1.17
10	1.63
25	2.64
50	4.37
60	4.67
75	4.15
90	2.97
100	3.27

It appears from table I that acyclovir surprisingly is more soluble in mixtures of glycerol formal and water than in glycerol formal and water, respectively, and that the maximum solubility is obtained at a ratio of approximately 60 v/v glycerol formal and 40 v/v water.

Table II

Solubility of acyclovir in mixtures of glycerol formal, propylene glycol, and water:

5	Glycerol formal/propylene glycol/water g/g/ml	Solubility of acyclovir in mg/ml
	0/40/20	3.44
	11/29/20	4.71
	20/20/20	4.60
10	30/10/20	5.20
	40/0/20	5.73

It appears from table II that acyclovir is dissolved increasingly, when propylene glycol is replaced by glycerol formal in mixtures of glycerol formal, propylene glycol and water.

#### 15 Skin penetration tests

A number of skin penetration tests have been carried out in vitro with human skin to show the enhanced skin penetration by use of oil-in-water emulsions according to the invention compared to the penetration obtained by using the emulsion formulation known from DK patent No. 149.191.

- 20 For screening of emulsion formulations in the form of creams with different compositions so-called Franz Diffusion Cells were used consisting of glass chambers surrounded by a thermostatically controlled water jacket. Pieces of skin (from plastic surgery operations) are fixed on top of the chambers with stratum corneum facing upwards, and a physiological fluid (receiver fluid) is filled into
- 25 the chambers. The cream to be examined is applied to the skin and at suitable intervals samples of the receiver fluid are taken for analysis of the active substance (acyclovir). In order to minimize the variation between the barrier proper-

ties of individual pieces of skin, a measurement of the water permeability of each piece of skin is carried out to begin with. The piece of skin is rejected in case of too high or too low values. Due to the possibility of intra-individual variations it is important to match the pieces of skin in such a manner that creams to be compared are applied to skin from the same donor and from the same skin area. Inter-individual variations bring about that the score from different tests cannot always be compared directly.

The creams used for the skin penetration tests all contained 5% w/w acyclovir with the following propylene glycol-glycerol formal ratio:

	Percentages by weight of glycerol formal	Percentages by weight of propylene glycol	Percentages by weight of water
10 1*	40	0	21.32
2*	30	0	31.18
3*	20	20	21.32
4*	11	29	21.32
5*	0	40	21.20
15 6**	0	40	30.00
7***	0	40	

\*: Creams of essentially the same composition except from glycerol formal/propylene glycol, cream No. 2, however, containing additionally about 10% w/w water, the five creams all thus containing approximately 61% w/w of the aqueous phase.

\*\*: Composition according to DK patent No. 149.191.

\*\*\*: Zovirax® cream

The exact composition of said seven creams mentioned appears from the following table III.





The following three tests were carried out with said seven creams:

1. Cream No. 4 was compared to cream No. 7, the amount of acyclovir dissolved in the receiver fluid being determined 24, 48, 72, 96, 120, 144 and 168 hours, respectively, subsequent to the application of cream to the piece of skin.
2. Creams No. 1, No. 3 and No. 4 were compared, the amount of acyclovir dissolved in the receiver fluid being determined 24, 48, 72, and 96 hours, respectively, subsequent to the application of cream to the piece of skin.
3. Creams No. 1, No. 2 and No. 5 were compared to cream No. 6 by measuring the amount of acyclovir dissolved in the receiver fluid 24, 48, 72, and 96 hours, respectively, subsequent to the application of cream to the piece of skin.

#### Brief Description of the Drawings

- 15 The results obtained at the tests are shown on the drawings, in which

Fig. 1 shows the accumulated amount of acyclovir in the receiver fluids from cream No. 4 and cream No. 7 in the course of seven days and the distribution of the results,

- 20 Fig. 2 and 4 show the accumulated amount of acyclovir in the receiver fluids from creams No. 1, 3, and 4 in the course of four days, Fig. 4 further showing the distribution of the results ( $N = 5$  or 4), and

Fig. 3 and 5 show the accumulated amount of acyclovir in the receiver fluids from creams No. 1, 2, 5 and 6 in the course of four days, Fig. 5 further showing

the distribution of the results ( $N = 8$ ).

#### Best Mode for Carrying out the Invention

It appears from Fig. 1 that the values for the Zovirax® cream are consistently lower than the values for the cream containing 11% w/w glycerol formal and 29% w/w propylene glycol. A multifactorial analysis of variance shows that the differences are significant at all times of measurement ( $p$  less than 0.05 on day 1, 4, 5, 6 and 7;  $p$  less than 0.01 on day 2 and 3).

Test 1 thus shows that by using cream No. 4 containing 11% w/w glycerol formal and 29% w/w propylene glycol, an increased penetration of acyclovir through the skin is obtained, whereby the use of cream No. 4 results in a more rapid effect than the use of the Zovirax® cream, as a sufficient concentration of acyclovir on the site of infection is obtained more rapidly.

Fig. 2 and 4 show that an increasing concentration of glycerol formal from 11% w/w to 20% w/w and 40% w/w, and a concurrent reduction of the concentration of propylene glycol from 29% w/w to 20% w/w and 0% w/w result in increasing concentrations of dissolved acyclovir and thus an enhanced skin penetration of acyclovir. A multifactorial analysis of variance ( $p$ -values shown in Fig. 4) shows that the creams containing 40% w/w glycerol formal/ 0% w/w propylene glycol and 11% w/w glycerol formal/ 29% w/w propylene glycol, respectively, are significantly different as regards the penetration of acyclovir after 48, 72 and 96 hours.

Fig. 3 and 5 show that creams containing either 40% w/w or 30% w/w glycerol formal and without any contents of propylene glycol result in an enhanced acyclovir penetration compared to the creams containing 40% w/w propylene glycol and no glycerol formal. A multifactorial analysis of variance ( $p$ -values shown in Fig. 5) shows that the cream containing 40% w/w glycerol formal is

significantly different to the creams containing 40% w/w propylene glycol as regards the penetration of acyclovir after 24, 48, 72 and 96 hours.

In summary, the three tests show that the use of glycerol formal as a solvent in an oil-in-water emulsion in form of an acyclovir cream results in enhanced skin  
5 penetration of acyclovir compared to the use of propylene glycol.

This surprising effect is of great importance in the treatment of herpes (labialis), where it is important that the active medical compound reaches the site of infection rapidly and in sufficient amount.

In advantageous embodiments of the emulsion according to the invention said  
10 emulsion comprises approximately 5% w/w of acyclovir or a salt or ester thereof and approximately 20% w/w of glycerol formal and approximately 20% w/w of polyhydric alcohol or approximately 11% w/w of glycerol formal and approximately 29% w/w of polyhydric alcohol, respectively, and from 20 to 25% w/w of water.

15 The polyhydric alcohol is preferably propylene glycol.

The emulsion may advantageously be in form of a cream, wherein the oil phase contains white vaseline, liquid paraffin (paraffin oil), glycerol monostearate and stearic acid.

Cream No. 4 in table III according to the invention has been examined by means  
20 animal tests and thereby compared with the Zovirax® cream containing 40% w/w propylene glycol.

#### Animal test 1

The effect on cutaneous herpes virus infection in guinea pigs.

- HSV-1 virus was inoculated into epidermis of the back skin of 30 animals, the inoculation being made at six sites on each animal. Forty hours after the inoculation, oedema and erythema were visible on the sites of inoculation and treatment was instituted. The animals were treated twice daily for six days after the inoculation with 0.05 ml cream per site. The treatment was randomised and blinded. The treatment of animal No. 1 on the six infected sites may be illustrated as follows.

Placebo cream	<input type="checkbox"/>	<input type="checkbox"/>	Acyclovir cream according to the invention
Zovirax® cream	<input type="checkbox"/>	<input type="checkbox"/>	Placebo cream
Zovirax® cream	<input type="checkbox"/>	<input type="checkbox"/>	Acyclovir cream according to the invention

- 10 The animal were examined daily, until all infection sores had healed completely (day 20) and the sores were rated according to their stage of development and regression by means of an arbitrary scale from 0 to 3.

At the conclusion of the test the efficacy of each treatment was estimated as:

- 1) Number of days to sore recovery, and  
 15 2) cumulative score of sores over the twenty days.

### Results

The number of days to recovery per treatment (geometric mean) is as follows:

Treatment	Days	Coefficient of variance
20 Acyclovir cream according to the invention	6.9	0.38
Zovirax® cream	8.5	0.31
Placebo cream	10.5	0.21

A statistically significant difference between the three treatments ( $p < 0.00001$ ) was found. The sites treated with the acyclovir cream according to the invention showed the fastest regression of sores followed by the sites treated with the Zovirax® cream, which were followed by the sites treated with the placebo cream.

- 5 The cumulative score of sores over the twenty days (mean values) is as follows:

Treatment	Score	95% confidence for limit
Acyclovir cream according to the invention	7.1	6.09 to 8.12
Zovirax® cream	9.0	8.02 to 10.0
10 Placebo cream	16.3	15.29 to 17.25

There was a statistically significant difference ( $p < 0.00001$ ) between the three cream for treatment. The acyclovir cream according to the invention gave the lowest cumulative score followed by the Zovirax® cream and then the placebo cream.

- 15 The conclusion as regards the result of the study of the effect of creams on cutaneous herpes virus infection in guinea pigs is that the study clearly demonstrates the effect of acyclovir creams on cutaneous herpes virus infections in guinea pigs. With reference to the above skin penetration tests, the study further showed a correlation between the in vitro test results with human skin and in vivo test
- 20 results with guinea pigs, the acyclovir cream according to the invention showing a more rapid skin penetration in vitro and a better treatment effect in vivo compared to the Zovirax® cream.

#### Animal test 2

A fourteen-day cumulative skin irritation study in rabbits.

Six rabbits were treated for 14 days with the acyclovir cream according to the invention, the Zovirax® cream and a 2% carbamide cream on the shaven backs. The dose was 0.05 ml per treatment site per day. Skin erythema was scored arbitrarily once a day by means of the following scoring system. The skin thickness was also measured daily with a thickness meter.

Formation of erythema and crust of a sore	Value
No erythema	0
Very slight erythema (barely perceptible)	1
Well-defined erythema	2
10 Moderate to severe erythema	3
Severe erythema (beet redness) to slight formation of crust (lesions in depth)	4

Scaling without erythema was set to a score of 1.

### Results

15 A cumulative index per treatment was calculated regarding erythema. The maximum obtainable index was 40. The results appear from the following table IV.

Scaly skin was observed on three occasions in the sites treated with the Zovirax® cream.

The treatment with the Zovirax® cream resulted in a significantly higher skin irritation index compared to the treatment with the acyclovir cream according to 20 the invention and the 2% carbamide cream ( $p = 0.0002$ ).

The skin irritation index per treatment as regards change in the skin thickness (primarily a measure for fluid accumulation) was calculated. No maximum

obtainable index can be deduced from this measurement. The results are shown in the following table IV. No significant differences were found regarding changes in the skin thickness ( $p > 0.05$ ).

Table IV

- 5 Skin irritation index regarding erythema and change in the skin thickness.

Preparation	Erythema	Change in skin thickness
5% Acyclovir cream according to the invention	15.0	0.148
5% Zovirax® cream	23.2	0.198
10 2% Carbamide cream	12.5	0.157

- The study of skin irritation at the use of the above acyclovir-containing creams shows that the cream according to the invention is significantly less irritating to rabbit skin compared to the Zovirax® cream. However, both creams cause a clearly visible skin erythema as did the carbamide cream used as a reference, the
- 15 carbamide cream being a commonly used emollient. The highest score for erythema obtained in the study was 3, which corresponds to moderate to severe erythema. As rabbit skin is approximately four times as permeable as human skin, but otherwise comparable to covered human skin, the severe skin changes are not liable to occur in human beings.
- 20 An acyclovir cream according to the invention may be prepared in a simple manner by dissolving the substances (preservatives) stated under I in the following table V in purified water (II) during heating to 80°C, whereafter the organic solvent comprising glycerol formal and possibly propylene glycol and triethanolamine (III) is admixed. The acyclovir (V) is then dispersed in the mixture and the
- 25 temperature is set to between 57°C and 63°C. The substances stated under IV are melted together and admixed at said temperature, whereafter emulsification is carried out to obtain a durable oil-in-water emulsion. The finished emulsion is cooled down to room temperature while being stirred continuously.



Table V - Cream compositions in % w/w

	5	5	5	5	V
Acyclovir					
White vaseline	12.65	12.65	12.65	15	IV
Liquid paraffin (paraffin oil)	11.4	11.4	11.4	6	
Cetomacrogol 1.000				1.8	
Cetostearyl alcohol				7.2	
Glycerol monostearate	5.25	5.25	5.25		III
Stearic acid	2.75	2.75	2.75		
Triethanolamine	1.4	1.4	1.4		
Propylene glycol	29	20	0		
Glycerol formal	11	20	40	40	II
Purified water	21.32	21.32	21.32	24.77	
Meth.-p.hydroxybenzoate	0.15	0.15	0.15	0.15	I
Prop.-p.hydroxybenzoate	0.08	0.08	0.08	0.08	
	100.0g	100.0g	100.0g	100.0g	

Claims

1. An antivirally active pharmaceutical oil-in-water emulsion containing 9-[(2-hydroxyethoxy)methyl]guanine (acyclovir) or a salt or ester thereof as an active ingredient in the continuous aqueous phase, said phase in addition to said active  
5 ingredient and the dispersed oil phase containing a water miscible organic solvent, wherein a polyhydric alcohol may form a constituent, c h a r a c t e r i s e d in that the emulsion comprises from 1% to 10% w/w of acyclovir or a salt or ester thereof, from 20% to 50 % w/w of organic solvent comprising from 5% to 50% w/w glycerol formal and from 0% to 29% w/w of a polyhydric alcohol, and from  
10 20% to 40 % w/w water, said percentages being based on the total weight of the formulation.
2. An emulsion as claimed in claim 1, c h a r a c t e r i s e d in that it comprises from 2% to 5% w/w of acyclovir or a salt or ester thereof, from 30% to 45% w/w of solvent, and from 15% to 35% w/w water.
- 15 3. An emulsion as claimed in claim 2, c h a r a c t e r i s e d in that it comprises approximately 5% w/w acyclovir or a salt or ester thereof, approxima-  
tely 40% w/w glycerol formal, and from 15% to 30% w/w water.
4. An emulsion as claimed in claim 2, c h a r a c t e r i s e d in that it comprises approximately 5% w/w acyclovir or a salt or ester thereof, approxima-  
20 tely 20% w/w glycerol formal, approximately 20% w/w polyhydric alcohol, and from 20% to 25% w/w water.
5. An emulsion as claimed in claim 2, c h a r a c t e r i s e d in that it comprises approximately 5% w/w acyclovir or a salt or ester thereof, approxima-  
tely 11% w/w glycerol formal, approximately 29% w/w polyhydric alcohol, and  
25 from 20% to 25% w/w water.

6. An emulsion as claimed in any of the preceding claims, characterized in that the polyhydric alcohol is propylene glycol.
7. An emulsion as claimed in any of the preceding claims, characterized in that it is available as a cream, wherein the oil phase comprises white  
5 vaseline, liquid paraffin (paraffin oil), glycerol monostearate, and stearic acid.

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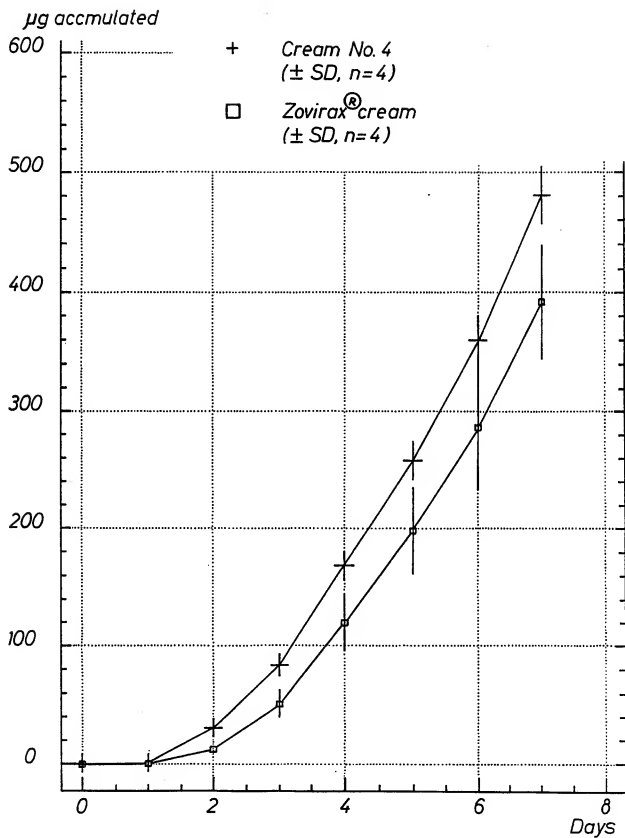
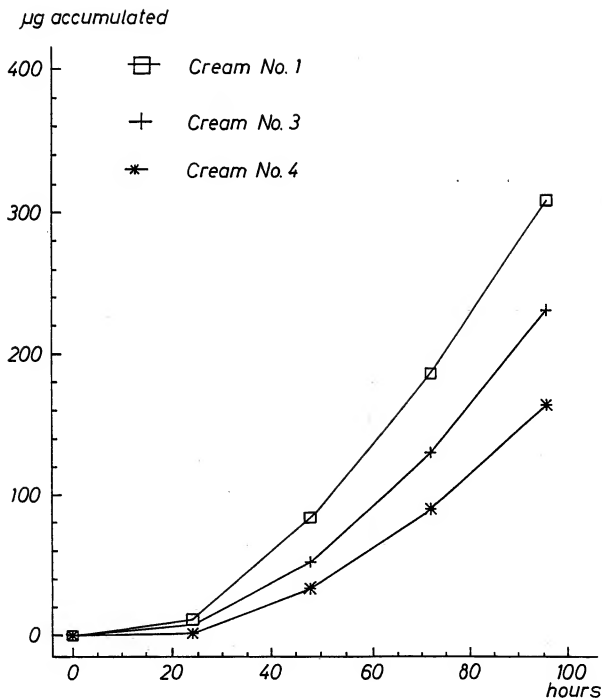
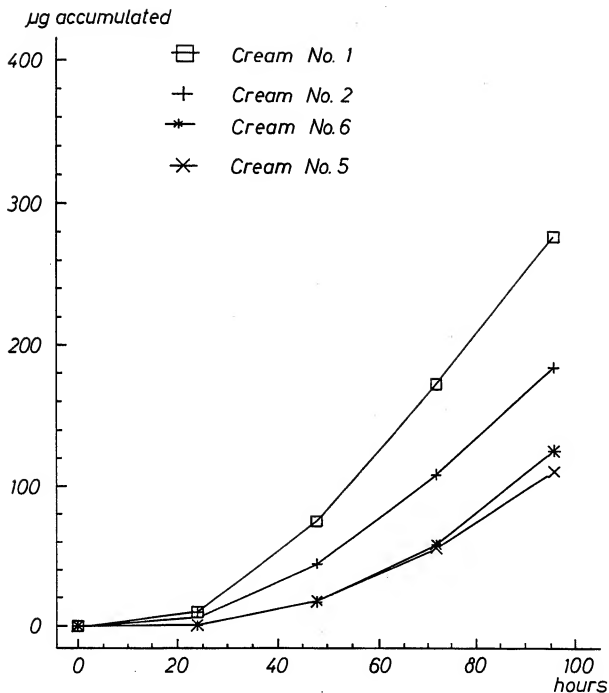


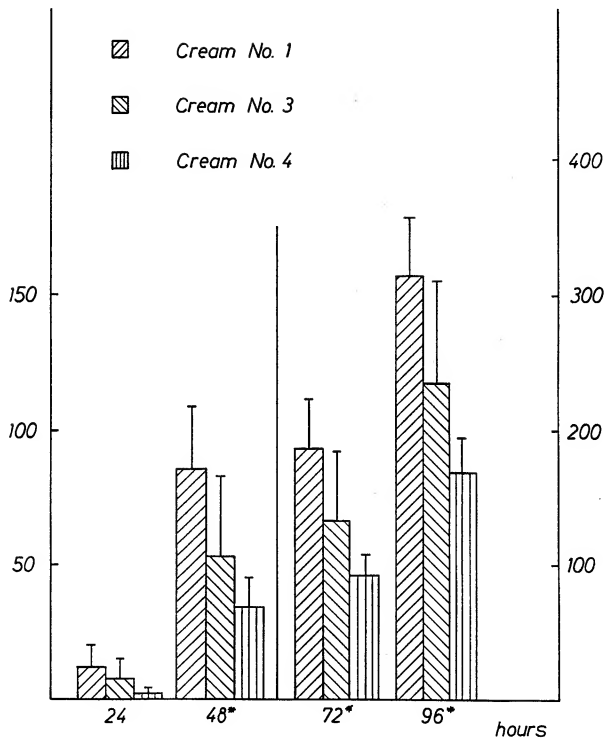
Fig. 1

2/5

**Fig. 2**

**Fig. 3**

4/5

 $\mu\text{g}$  accumulated  
24 and 48 hours $\mu\text{g}$  accumulated  
72 and 96 hours\*:  $P < 0.05$ **Fig. 4**

$\mu\text{g}$  accumulated  
24 and 48 hours

$\mu\text{g}$  accumulated  
72 and 96 hours

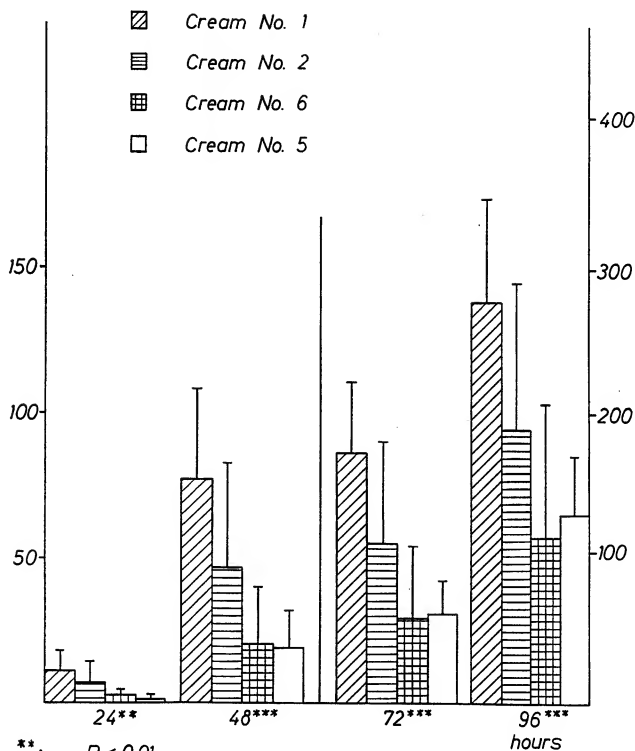


Fig. 5



## A. CLASSIFICATION OF SUBJECT MATTER

IPC5: A61K 9/107, A61K 31/52

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC5: A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP, A1, 0044543 (THE WELLCOME FOUNDATION LIMITED), 27 January 1982 (27.01.82)  -- -----	1-7

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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Date of the actual completion of the international search

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Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Mikael G:son Bergstrand  
Telephone No. +46 8 782 25 00

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